

Attorney Docket No. 20807-0000
U.S. Application No. 09/828,444

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curt V. Avallone)
Application No.: 09/828,444) Group Art Unit: 3621
Filed: 04-06-2001) Examiner: F. Backer

For: METHOD AND SYSTEMS FOR PROVIDING PERSONALIZED
INFORMATION TO USERS IN A COMMERCIAL ESTABLISHMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

☒ **A. PTO/SB/08**

☐ **B. CERTIFICATION**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **C. \$180.00 FEE PAYMENT**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **D. \$130.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**

(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

☒ **I. A copy of each listed U.S. Patent [1.98(a)(2)(i)].**

☐ **II. A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:**

☐ a) the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

☐ (b) U.S. counterpart patents or English language equivalents of the
foreign patents or published foreign patent applications (see PTO-
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- ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

☐ 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

☐ I, _____, hereby state the following:

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. _____. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

☐ 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,



Brian T. Sattizahn
Reg. No. 46,401




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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/828,444	
	Filing Date	04-06-2001	
	First Named Inventor	Curt V. Avallone	
	Group Art Unit	3621	
	Examiner Name	F. Backer	
Total Number of Pages in This Submission		Attorney Docket Number	20807-0003

ENCLOSURES (check all that apply)		
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Firm or Individual name	McNees Wallace & Nurick Brian T. Sattizahn
Signature	<i>B-T Sattizahn</i>
Date	9-26-2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9-26-2002			
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